

ON THE PERMANENTS OF SOME
TRIDIAGONAL MATRICES WITH APPLICATIONS
TO THE FIBONACCI AND LUCAS NUMBERS

EMRAH KILIC AND DURSUN TAŞCI

ABSTRACT. In this paper, we derive some interesting relationships between the permanents of some tridiagonal matrices with applications to the negatively and positively subscripted usual Fibonacci and Lucas numbers. Also, we give a relation involving the generalized order- k Lucas number and permanent of a matrix.

1. Introduction. The Fibonacci sequence, $\{F_n\}$, is defined by the recurrence relation, for $n \geq 1$

$$(1.1) \quad F_{n+1} = F_n + F_{n-1}$$

where $F_0 = 0$, $F_1 = 1$. The Lucas sequence, $\{L_n\}$, is defined by the recurrence relation, for $n \geq 1$

$$(1.2) \quad L_{n+1} = L_n + L_{n-1}$$

where $L_0 = 2$, $L_1 = 1$.

Rules (1.1) and (1.2) can be used to extend the sequences backward, respectively, thus

$$\begin{aligned} F_{-1} &= F_1 - F_0, & F_{-2} &= F_0 - F_{-1} \\ L_{-1} &= L_1 - L_0, & L_{-2} &= L_0 - L_{-1}, \dots, \end{aligned}$$

and so on. Clearly,

$$(1.3) \quad F_{-n} = F_{-n+2} - F_{-n+1} = (-1)^{n+1} F_n,$$

$$(1.4) \quad L_{-n} = L_{-n+2} - L_{-n+1} = (-1)^n L_n.$$

2000 AMS *Mathematics subject classification*. Primary 15A15, 11B39, 15A36.
Keywords and phrases. Permanent, Fibonacci number, generalized order- k Lucas sequence

Received by the editors on December 8, 2004, and in revised form on August 18, 2005.

In [2] Er defined k sequences of the generalized *order- k* Fibonacci numbers as shown:

$$(1.5) \quad g_n^i = \sum_{j=1}^k g_{n-j}^i, \quad \text{for } n > 0 \quad \text{and} \quad 1 < i \leq k,$$

with boundary conditions for $1 - k \leq n \leq 0$,

$$g_n^i = \begin{cases} 1 & \text{if } n = 1 - i, \\ 0 & \text{otherwise,} \end{cases}$$

where g_n^i is the n th term of the i th sequence. For example, if $k = 2$, then $\{g_n^2\}$ is the usual Fibonacci sequence, $\{F_n\}$, and, if $k = 4$, then the fourth sequence of the generalized *order-4* Fibonacci number is

$$1, 1, 2, 4, 8, 15, 29, 56, 108, 208, 401, 773, 1490, \dots$$

In [6] the authors defined k sequences of the generalized *order- k* Lucas numbers as shown:

$$l_n^i = \sum_{j=1}^k l_{n-j}^i, \quad \text{for } n > 0 \quad \text{and} \quad 1 < i \leq k,$$

with boundary conditions for $1 - k \leq n \leq 0$,

$$l_n^i = \begin{cases} -1 & \text{if } n = 1 - i, \\ 2 & \text{if } n = 2 - i, \\ 0 & \text{otherwise,} \end{cases}$$

where l_n^i is the n th term of the i th sequence. For example, if $k = 2$, then $\{l_n^2\}$ is the usual Lucas sequence, $\{L_n\}$, and, if $k = 4$, then the fourth sequence of the generalized *order-4* Lucas numbers is

$$1, 3, 4, 8, 16, 31, 59, 114, 220, 424, 817, 1575, 30636, \dots$$

In [3], we gave the generalized Binet formula, the combinatorial representations and some relations involving the generalized *order- k* Fibonacci and Lucas numbers. In particular, we showed that, for $k \geq 2$

$$(1.6) \quad l_n^k = g_n^k + 2g_{n-1}^k$$

where l_n^k and g_n^k are the generalized *order-k* Lucas and Fibonacci numbers, respectively, for $i = k$. The above result is a well-known relation that, for $k = 2$,

$$L_n = F_n + 2F_{n-1} \quad (\text{see [7, page 176]}).$$

The *permanent* of an n -square matrix $A = (a_{ij})$ is defined by

$$\text{per } A = \sum_{\sigma \in S_n} \prod_{i=1}^n a_{i\sigma(i)}$$

where the summation extends over all permutations σ of the symmetric group S_n .

The permanent of a matrix is analogous to the determinant, where all of the signs used in the Laplace expansion of minors are positive.

A matrix is said to be a $(0, 1)$ -matrix if each of its entries is either 0 or 1.

In [5], Minc constructed the $n \times n$ $(0, 1)$ -matrix $F(n, k)$ as follows:

$$(1.7) \quad F(n, k) = \begin{bmatrix} 1 & 1 & \dots & 1 & 0 & 0 & 0 & \dots & 0 \\ 1 & 1 & \dots & 1 & 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & \dots & 1 & 1 & 1 & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & \dots & \dots & 0 & 1 & 1 & \dots & 1 & 0 \\ 0 & \dots & \dots & 0 & 0 & 1 & \dots & 1 & 1 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & \dots & \dots & 0 & \dots & 0 & 1 & 1 & 1 \\ 0 & \dots & \dots & 0 & \dots & 0 & 0 & 1 & 1 \end{bmatrix}$$

where, $k \leq n + 1$, $F(n, k)$ denote the n -square $(0, 1)$ -matrix with 1 in the (i, j) position for $i - 1 \leq j \leq i + k - 1$ and 0 otherwise. Also, he showed that

$$(1.8) \quad \text{per } F(n, k) = g_{n+1}^k$$

where g_n^k is the n th generalized order- k Fibonacci number, for $i = k$.

Hence, by linearity of the permanent, $\text{per } C = \text{per } B$. \square

Now we give an application of the above result. We introduce an n -square $(-1, 0, 1)$ -tridiagonal Toeplitz matrix whose permanent and principal subpermanents are Fibonacci numbers of prescribed order.

Let A_n denote an $n \times n$ $(-1, 0, 1)$ -tridiagonal Toeplitz matrix as follows: for $n \geq 3$

$$(2.2) \quad A_n = \begin{bmatrix} 1 & -1 & & & & 0 \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ 0 & & & & -1 & 1 \end{bmatrix}$$

Corollary 1. *Let A_n be the $n \times n$ $(-1, 0, 1)$ -tridiagonal Toeplitz matrix as in (2.2). Then, for $n \geq 3$*

$$\text{per } A_n = F_{n+1}$$

where F_n is the n th Fibonacci number.

Proof. If $n = 3$, then we have

$$A_3 = \begin{bmatrix} 1 & -1 & 0 \\ -1 & 1 & -1 \\ 0 & -1 & 1 \end{bmatrix}$$

and hence $\text{per } A_3 = 3 = F_4$.

Let A_n^p be the p th contraction of A_n , $1 \leq p \leq n - 2$. From the definition of A_n , the matrix A_n can be contracted on column 1 so that

$$A_n^1 = \begin{bmatrix} 2 & -1 & & & & \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ & & & & -1 & 1 \end{bmatrix}.$$

Since the matrix A_n^1 can be contracted on column 1 and $F_4 = 3, F_3 = 2,$

$$A_n^2 = \begin{bmatrix} 3 & -2 & & & & \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ & & & & -1 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} F_4 & -F_3 & & & & \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ & & & & -1 & 1 \end{bmatrix}.$$

Furthermore, the matrix A_n^2 can be contracted on column 1 so that

$$A_n^3 = \begin{bmatrix} 5 & -3 & & & & \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ & & & & -1 & 1 \end{bmatrix}$$

where $F_5 = 5, F_4 = 3.$ Continuing this process, we obtain

$$A_n^r = \begin{bmatrix} F_{r+2} & -F_{r+1} & & & & \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ & & & & -1 & 1 \end{bmatrix}$$

for $3 \leq r \leq n - 4.$ Hence,

$$A_n^{n-3} = \begin{bmatrix} F_{n-1} & -F_{n-2} & 0 \\ -1 & 1 & -1 \\ 0 & -1 & 1 \end{bmatrix}$$

Since the matrix V_n^1 can be contracted on column 1 and $L_{-3} = -4$, $L_{-2} = 3$,

$$\begin{aligned}
 V_n^2 &= \begin{bmatrix} -4 & 3 & 0 & & & & \\ 1 & -1 & 1 & \ddots & & & \\ 0 & 1 & -1 & \ddots & 0 & & \\ & \ddots & \ddots & \ddots & 1 & 0 & \\ & & 0 & 1 & -1 & 1 & \\ & & & 0 & 1 & -1 & \end{bmatrix} \\
 &= \begin{bmatrix} L_{-3} & L_{-2} & 0 & & & & \\ 1 & -1 & 1 & 0 & & & \\ 0 & 1 & -1 & 1 & \ddots & & \\ & \ddots & \ddots & \ddots & \ddots & 0 & \\ & & 0 & 1 & -1 & 1 & \\ & & & 0 & 1 & -1 & \end{bmatrix}.
 \end{aligned}$$

Furthermore, the matrix V_n^2 can be contracted on column 1 and $L_{-4} = 7$ so that

$$\begin{aligned}
 V_n^3 &= \begin{bmatrix} 7 & -4 & 0 & & & & \\ 1 & -1 & 1 & \ddots & & & \\ 0 & 1 & -1 & \ddots & 0 & & \\ & \ddots & \ddots & \ddots & 1 & 0 & \\ & & 0 & 1 & -1 & 1 & \\ & & & 0 & 1 & -1 & \end{bmatrix} \\
 &= \begin{bmatrix} L_{-4} & L_{-3} & 0 & & & & \\ 1 & -1 & 1 & \ddots & & & \\ 0 & 1 & -1 & \ddots & 0 & & \\ & \ddots & \ddots & \ddots & 1 & 0 & \\ & & 0 & 1 & -1 & 1 & \\ & & & 0 & 1 & -1 & \end{bmatrix}.
 \end{aligned}$$

Proof. By the similar method in Lemma 3, the proof is readily seen. \square

If we take $c_{ij} = 1, i - 1 \leq j \leq i + 1$, in the above Lemma, then $\text{per } C_2(1) = -1, \text{per } C_2(2) = 2$ and $\text{per } C_2(n) = -\text{per } C_2(n - 1) + \text{per } C_2(n - 2)$, which is exactly the negatively subscripted Fibonacci recurrence.

Combining Lemmas 3 and 4, we give the following Theorem.

Theorem 2. *Let the sequences*

$$\{C_1(n), n = 1, 2, \dots\} \quad \text{and} \quad \{C_2(n), n = 1, 2, \dots\}$$

be as in (3.1) and (3.2), respectively. Then, for $n \geq 1$,

$$(-1)^n \text{per } C_2(n) = \text{per } C_1(n).$$

Proof. We will use induction method to prove that $(-1)^n \text{per } C_2(n) = \text{per } C_1(n)$. If $n = 1$, then

$$(-1)^1 \text{per } C_2(1) = c_{1,1} = \text{per } C_1(1).$$

Suppose that the equation holds for n . So we have

$$(3.5) \quad (-1)^n \text{per } C_2(n) = \text{per } C_1(n).$$

Now we show that the equation is true for $n + 1$. From equation (3.4), we write that

$$\begin{aligned} & (-1)^{n+1} \text{per } C_2(n + 1) \\ &= (-1)^{n+1} (-c_{n+1,n+1} \text{per } C_2(n) + c_{n,n+1} c_{n+1,n} \text{per } C_2(n - 1)) \\ &= (-1)^n c_{n+1,n+1} \text{per } C_2(n) + (-1)^{n+1} c_{n,n+1} c_{n+1,n} \text{per } C_2(n - 1) \end{aligned}$$

and by using equation (3.5), we may write

$$(-1)^{n+1} \text{per } C_2(n + 1) = c_{n+1,n+1} \text{per } C_1(n) + c_{n,n+1} c_{n+1,n} \text{per } C_1(n - 1).$$

It is also seen that the matrix $T(n)$ and $F(n, 2)$ are elements of the sequences $\{C_2(n)\}$ and $\{C_1(n)\}$, respectively. Using Theorem 2, we have that

$$\text{per } F(n, 2) = (-1)^n \text{per } T(n)$$

or

$$\text{per } T(n) = (-1)^n \text{per } F(n, 2).$$

From equation (3.7), we write

$$\text{per } T(n) = (-1)^n F_{n+1}.$$

Thus, we obtain

$$\text{per } T(n) = F_{-(n+1)}.$$

So the proof is complete. \square

4. On generalized order- k Lucas numbers. Let $H(n + 1, k) = [h_{ij}]$ be a $(n + 1) \times (n + 1)$ matrix as the form:

$$(4.1) \quad H(n + 1, k) = \begin{bmatrix} 1 & 2 & 0 & \dots & 0 \\ 1 & & & & \\ 0 & & F(n, k) & & \\ \vdots & & & & \\ 0 & & & & \end{bmatrix}$$

where $F(n, k)$ is the $n \times n$ $(0, 1)$ -matrix as in (1.7).

Now we give a relation between the generalized order- k Lucas number, l_n^i , for $i = k$ and permanent of the matrix $H(n + 1, k)$ by the following theorem.

Theorem 3. *Let the matrix $H(n + 1, k)$ be as in (4.1). Then*

$$\text{per } H(n + 1, k) = l_{n+1}^k$$

where l_n^k is the n th element of k th sequence of the generalized order- k Lucas numbers.

Proof. Using the Laplace expansion of the permanent for the matrix $H(n+1, k)$ with respect to row 1, we have

$$\begin{aligned} & \text{per } H(n+1, k) \\ &= \text{per } F(n, k) + 2\text{per} \begin{bmatrix} 1 & 1 & \dots & 1 & 0 & \dots & 0 \\ 0 & F(n-1, k) & & & & & \\ \vdots & & & & & & \\ 0 & & & & & & \end{bmatrix}. \end{aligned}$$

Let

$$C = \begin{bmatrix} 1 & 1 & \dots & 1 & 0 & \dots & 0 \\ 0 & F(n-1, k) & & & & & \\ \vdots & & & & & & \\ 0 & & & & & & \end{bmatrix};$$

then we may write

$$\text{per } C = \text{per } F(n-1, k).$$

Thus,

$$\text{per } H(n+1, k) = \text{per } F(n, k) + 2\text{per } F(n-1, k).$$

From equations (1.8) and (1.6), we obtain

$$\text{per } H(n+1, k) = g_{n+1}^k + 2g_n^k = l_{n+1}^k.$$

So the proof is complete. \square

Acknowledgments. The authors would like to thank the referee for a number of helpful suggestions.

REFERENCES

1. R.A. Brualdi and P.M. Gibson, *convex polyhedra of doubly stochastic matrices I: Applications of the permanent function*, J. Combin. Theory **22** (1977), 194–230.
2. M.C. Er, *Sums of Fibonacci numbers by matrix methods*, Fibonacci Quart. **22** (1984), 204–207.
3. E. Kilic and D. Taşci, *On the Generalized order- k Fibonacci and Lucas numbers*, Rocky Mountain J. Math. **36** (2006), 1915–1926.
4. G.Y. Lee, *k -Lucas numbers and associated bipartite graphs*, Linear Algebra Appl. **320** (2000), 51–61.

5. H. Minc, *Permanents of $(0, 1)$ -circulants*, *Canad. Math. Bull.* **7** (1964), 253–263.

6. D. Taşci and E. Kilic, *On the order- k generalized Lucas numbers*, *Appl. Math. Comp.* **155** (2004), 637–641.

7. S. Vajda, *Fibonacci & Lucas numbers and the golden section*, Ellis Horwood Ltd., New York, 1989.

TOBB UNIVERSITY OF ECONOMICS AND TECHNOLOGY, MATHEMATICS DEPARTMENT, 06560 ANKARA, TURKEY

Email address: ekilic@etu.edu.tr

GAZI UNIVERSITY, DEPARTMENT OF MATHEMATICS, TEKNİKOKULLAR 06500, ANKARA, TURKEY

Email address: dtasci@gazi.edu.tr